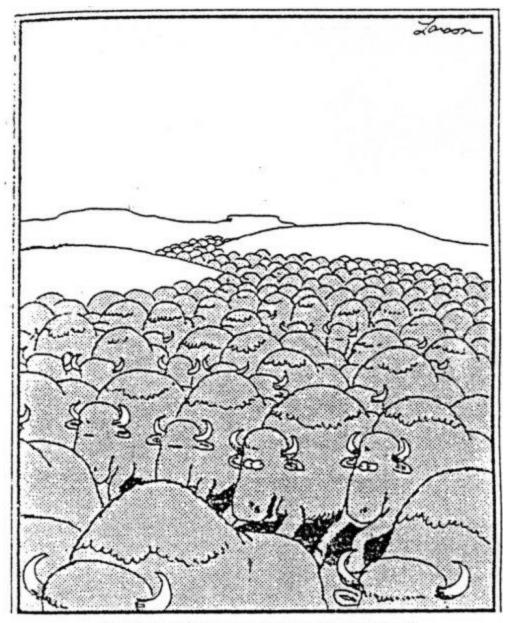
Beginning to unpack the data needs of Medicaid waiver transformation projects in King County

Performance Measurement Work Group





"As if we all knew where we're going."

Outline

- Healthier Washington Medicaid Transformation Projects
- New York's Medicaid Waiver: Delivery System Reform Incentive Payments (DSRIP) Program
- Comparing WA and NY Medicaid waiver projects
- Toward understanding the data needs of ACHs for planning & performance measurement of Medicaid transformation projects



Overview of Healthier Washington Medicaid waiver transformation projects

Background

Delivery System Reform - each region, through its Accountable Community of Health, will be able to pursue projects that will transform the Medicaid delivery system to serve the whole person and use resources more wisely

Overall goal

- Shift to paying for value over volume (i.e. away from fee-for-service):
 - Target: 80% of Medicaid payments are value-based payments by 2019

Project guidelines

- Projects will be specified by the state
- Projects must support predominantly Medicaid-eligible populations

Key players

Focus on transforming health care delivery system by working with providers and plans

Role of metrics

- Participating providers will earn incentive payments based on performance on project metrics:
 - State will develop metrics for each waiver project
 - Metrics expected to be based on common measure set

Domain 1: Health systems capacity building

Project	Intersections with current King County work?
Primary care models:	
Work with primary care practices to provide whole-person care (i.e. integrated care)	Physical/behavioral health integration
Workforce and non-conventional service sites:	
Focus on health care workforce development	
Data collection and analytic capacity:	
Support evolution of EHR and HIE to improve speed, quality, safety, and cost of care; includes linkages to community-based care models	 Familiar Faces KCIT data integration project King County Hospitals for a Healthier Community
Improve data/analytics capacity to support health systems transformation, including combining clinical and claims data to advance value-based payment models and achieve Triple Aim	

Note: Domain 1 projects must support and demonstrate a direct connection to activities undertaken in Domain 2 (Care Delivery Redesign) and/or Domain 3 (Prevention and Health Promotion)

Domain 2: Care delivery redesign

Project	Intersections with current King County work?
Bi-directional integration of care:	
Systematic coordination of physical and behavioral healthcare	Physical/behavioral health integration
Care coordination:	
Bringing together providers and data systems to coordinate health services, foundational community supports, and information to better achieve goals of treatment and care (includes optional oral health coordination project as well)	Familiar Faces
Cannot duplicate care coordination currently provided under Medicaid, but can support these efforts and/or ensure local coordination	
Must result in improvements in clients' health outcomes	
Care transitions	Familiar Faces

Domain 3: Prevention and health promotion

Project	Intersections with current King County work?
Chronic disease prevention and/or management:	
Improved management of chronic conditions Identify and link existing community resources that provide targeted services for clients with chronic health conditions Identify culturally competent, cost-effective, evidence-based approaches to prevention/care of chronic disease Reduce disparities in receipt of targeted prevention services Increase rates of screening and follow-up across prevention services Expand availability of chronic disease self-management programs	 King County Hospitals for a Healthier Community Partnerships to Improve Community Health (PICH)
Implement obesity/food insecurity screening and improve referrals	
Maternal and child health:	
Promoting improved birth outcomes and early childhood health	Best Starts for Kids
Promoting trauma-informed approaches to care (ACEs focus)	

New York's Medicaid Waiver: Delivery System Reform Incentive Payments (DSRIP) Program

Overview of NY DSRIP Program

Background

CMS now considers NY DSRIP Program the standard to which other state's programs should be compared

Overall goals

- Reduce avoidable hospital use by 25%
- At least 80% of payments between Medicaid managed care plans and providers use valuebased methodologies by end of 5-year waiver

Key players

Projects are implemented by Performing Provider Systems (PPSs), which are networks of providers and CBOs led by a safety-net provider, most frequently a hospital

Role of metrics

- PPSs responsible for reporting to state robust set of process metrics and are accountable for meeting performance metrics, such as reductions in potentially avoidable emergency room visits, potentially avoidable readmissions, and HEDIS measures
- State sets metrics for each project

Source: Commonwealth Fund, Implementing New York's DSRIP Program: Implications for Medicaid Payment and Delivery System Reform, 4/2016.

NY DSRIP projects - lessons learned

PPS-MCO relationship

Stakeholders noted that PPSs have only minimally engaged MCOs in their planning efforts, and as a result, may not be aware of duplication between their planned investments and services provided by MCOs

Limited focus on patients' unmet social needs (SDOH)

Thought to be primarily due to dominance of hospitals in governance and leadership of PPSs

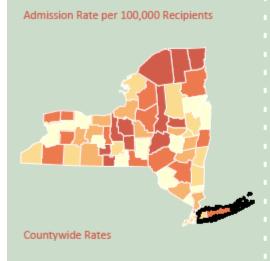
Working with CBOs

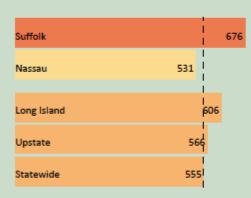
- New York's waiver permits only 5 percent of PPS funds to be flowed directly to non-safety-net provider
- This includes clinical providers that do not meet the state's definition of a safety-net provider and nonclinical social support services
- As a result, PPSs had to develop workarounds to flow funds to CBOs that do not provide Medicaid-reimbursable services

Absence of public health role

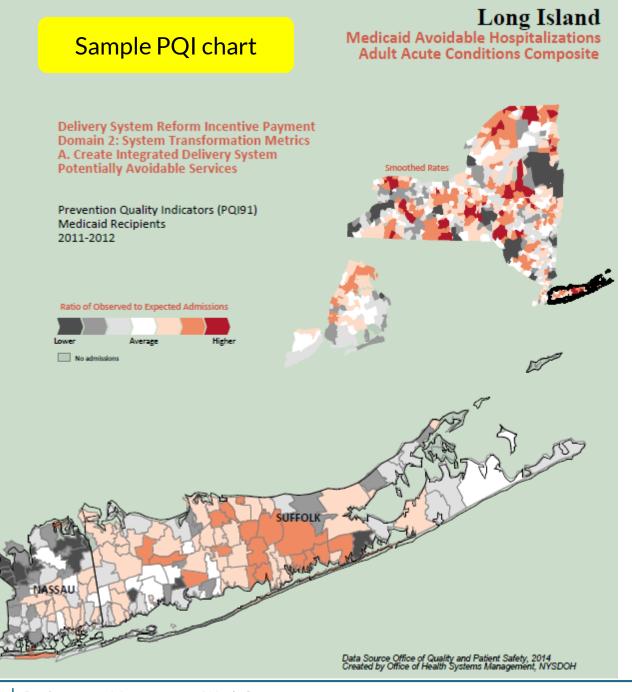
- Not one mention of "public health" in Commonwealth Fund's 34-page report, but development of "population" health management infrastructure" a key theme
- Some lead entities created separately incorporated, wholly owned subsidiaries to house the PPS's population health capabilities, such as IT, analytics, and care management
- Continued divide between public health and health care delivery systems

Source: Commonwealth Fund, Implementing New York's DSRIP Program: Implications for Medicaid Payment and Delivery System Reform, 4/2016.





Number of avoidable visits Suffolk 2,196 Nassau 1,586



Sample DSRIP Dashboard

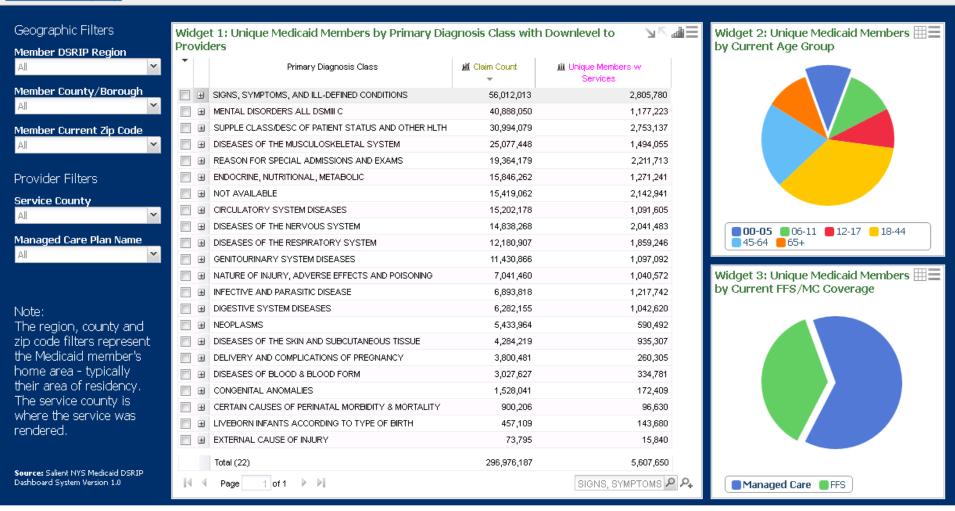
Department of Health

Information for a Healthy New York

Return to Landing Page

Dashboard B4

Medicaid Members by Primary Diagnosis Class (CY 2014)



Sample APDE Tableau data visualization

Comparing WA and NY Medicaid waiver projects

High-level differences between WA and NY Medicaid waiver projects

Washington

Key players

ACHs, working with providers and plans

Overall goal

80% value-based payments by 2019

Funding flow

No current restrictions on funding flows to nonproviders/plans

Data commitment to DSRIP leads

Unclear whether ACHs will have access to anything other than summary-level dashboards/reports

Social determinants of health

Intentionally addressed by multiple waiver projects

Performance metrics

Will be based on WA state common measure set

New York

Key players

Hospitals, working mostly with other providers

Overall goal

25% reduction in avoidable hospital use AND 80% value-based payments by end of 5-year waiver

Funding flow

No more than 5% funding can flow to CBOs

Data commitment to DSRIP leads

Substantial investment in building data portals, data extracts, and data dashboards for lead entities

Social determinants of health

Limited focus potentially due to dominant role of hospitals AND limited funds to pay for social services and CBO-based efforts

Performance metrics

Domain 2-4 metrics moderate overlap with WA state common measure set

Toward understanding the data needs of ACHs for planning & performance measurement of Medicaid transformation projects Which data sources?

If ACHs work with providers, plans, and other partners to implement Medicaid waiver projects, what data will be needed for planning & performance measurement?

Which populations?

Will Medicaid waiver projects be targeted to ALL Medicaid enrollees within each ACH, or will projects target selected sub-populations by provider, plan, demographics (e.g. place) or clinical characteristics?

Crossing providers/plans If projects target sub-populations that span multiple providers and/or plans, how will this cross provider/plan data be prepared for planning and performance measurement?

Use of non-provider/ plan data

How will data sources not traditionally used by providers/plans (population-based surveys, vital statistics) be incorporated into project performance measurement?

Performance measurement roles

Will performance measurement be centralized (i.e. state) or localized (i.e. ACHs/partners)?

Role of non-health data in planning

For projects that focus on working with partners outside of the traditional health care delivery system, how will these projects use cross agency, cross sector data for planning?

HOW will data will be used for Medicaid waiver project planning & performance measurement?

Data infrastructure

What data infrastructure will ACHs or waiver project partners need to receive, process, manage and analyze data?

HIPAA

Do ACHs need to be HIPAA covered entities or be partnered with a HIPAA covered entity to have their data needs met?

Data sharing agreements

What infrastructure or tools would be helpful to ACHs and their waiver project partners in establishing data sharing agreements?

Minimum data capacity

What minimum data capacities should ACHs have either through staffing, contracting, or collaboration with ACH partners?

Budgeting for data

What percent of administrative budget or other lines should be directed towards meeting data needs?

Data technical assistance

What level of data technical assistance provided by whom will be needed by ACHs and their partners? What about regional or centralized support beyond AIM for the waiver?

Pay for performance metrics to be drawn from common measure set

Measures based on claims/hospitalization data typically drawn from identifiable data

Able to assess custom groups defined by participation in intervention (e.g. waiver project)

CLAIMS/HOSPITALIZATION DATA-BASED MEASURES

access to primary care providers well-child visits

weight assessment & nutrition/physical activity counseling

primary caries prevention offered by primary care medical assistance with smoking

health screenings (cancers, chlamydia)

follow/up after hospitalization for mental illness

follow/up after discharge from ED for MH/CD concern

mental health service penetration substance use disorder treatment penetration

30-day psychiatric inpatient readmissions

depression: medication management asthma: medication management

COPD: use of spirometry in diagnosis hospitalization for COPD or asthma diabetes: blood sugar testing

diabetes: blood sugar poor control

diabetes: eye exam

diabetes: kidney disease screening diabetes: blood pressure control

cardiovascular disease: blood pressure control

cardiovascular disease: statin therapy medication safety: adherence to prescribed

medications

medication safety: hypertension medication

monitoring

generic medication prescribing appropriate testing for pharyngitis avoidance of antibiotics for acute bronchitis avoidance of X-ray, MRI, CT scan for low back pain

potentially avoidable ED use

ED visit rate

30-day all-cause hospital readmissions

Cesarean deliveries

hospital 30-day mortality for heart attacks catheter-associated urinary tract infections stroke care: timely thrombolytic therapy

patient falls with injury

patient safety for 11 indicators (composite) annual per-capita state-purchased health care

spending

Medicaid per enrollee spending

Public Employee per enrollee spending

Measures based on surveys or vital statistics typically *not* drawn from identifiable data

- Not typically able to assess custom groups defined by participation in intervention (e.g. waiver project)
- Able to assess groups defined by demographic characteristics including place

POPULATION-BASED SURVEY MEASURES

tobacco use unintended pregnancies immunization status mental health status

VITAL STATISTICS-BASED MEASURES

immunization status

PATIENT EXPERIENCE SURVEY MEASURES

patient experience with primary care provider communication patient experience (discharge information, medicine explained)

This is a simplified list of the common measure set for presentation purposes only. Full information on the common measure set can be found at http://www.hca.wa.gov/hw/pages/performance_measures.aspx

Who will measure Delivery System Reform project performance?

If measured by the state

Lessons learned

- In New York, much of the data needed for planning waiver projects comes from state claims data, which is on a year-plus delay.
- In addition, much of the data analysis is done by state and carries a large administrative burden that causes further delays in information disseminated to DSRIP implementers (providers).
- This causes significant obstacles in timely reporting of clinical outcomes for payment.

In Washington State...

- How will state assume the substantial administrative burden of performance measurement for ACHs?
- How will this administrative burden and the lag of claims data impact pay for performance reporting and payments?
- Will multi-provider/plan claims data be made available to ACHs and their partners for project planning?

If measured by ACHs & their partners

Lesson learned

- In Texas, DSRIP implementers (providers) do not have access to statewide claims data and must rely on internal data systems to report many population measures.
- This limited data reduces state's ability to measure waiver impact because reported data is not standardized across providers.

In Washington State...

- If statewide claims and other data sources are provided to ACHs/partners, how will state ensure that available data are timely and produced at required intervals (e.g. for quarterly reporting)?
- If providers/plans are instead expected to use their own internal data for performance measurement, how will state ensure that this is standardized across ACHs?
- What level of analytics and support will need to be given to ACHs and their partners?

Source: Chau, N. & Springer, H, Lessons for Washington 1115 Waiver Participants. Cope Health Solutions, 2015, https://copehealthsolutions.org/cblog/lessons-for-washington-1115-waiver-participants/

Can the Medicaid waiver be leveraged to improve planning & performance measurement overall?

Additional populations

Delivery System Reform projects must support predominantly Medicaid-eligible populations, but other populations also important for overall health reform in WA state

Additional data & metrics

- Pay for performance metrics will be drawn from common measure set, which does not include measures for social determinants of health (e.g. housing, education, employment), but these factors are likely essential focus areas for effective & sustainable health reform
- Common measure set does not include measures drawn from electronic health record data: with development of the Clinical Data Repository, will these data eventually be available for Delivery System Reform project planning & performance measurement?
- What about market-wide claims data from the All Payer Claims Database?

APPENDIX

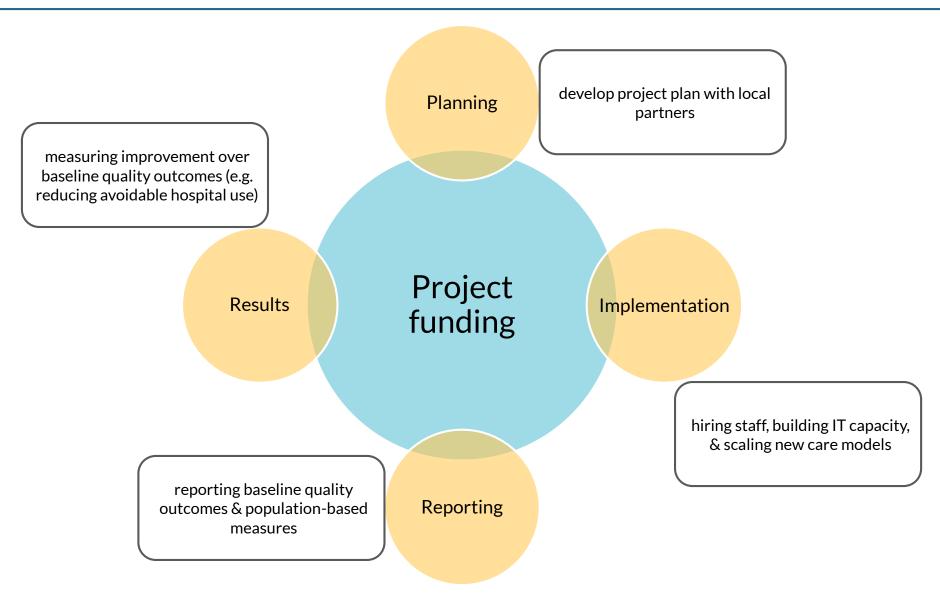
FOR MORE INFORMATION, CONTACT:

Eli Kern MPH RN | Epidemiologist Assessment, Policy Development & Evaluation Public Health - Seattle and King County

Phone: 206.263.8727 | Email: eli.kern@kingcounty.gov

www.kingcounty.gov/pmw

How can waiver project funding potentially be used in WA state?



44 DSRIP projects fall into three domains

Domain 1 - Building care management and population health management infrastructure

- Improve care coordination and transitional care
- Connect care occurring in multiple settings
- More systematically engage with patients

Domain 2 - Clinical programs

- Home-based asthma interventions
- Primary care and behavioral health integration
- Cardiovascular care, diabetes care, palliative care, and renal care

Domain 3 - Population health projects

Focus on chronic disease, HIV, maternal and infant health, and mental health and substance abuse prevention

Project selection

- PPSs required to select between 5 and 11 projects to implement over 5-year waiver period
- Each PPS accountable for between 100 and 330 process and outcome metrics, creating a heavy administrative burden

Source: Commonwealth Fund, Implementing New York's DSRIP Program: Implications for Medicaid Payment and Delivery System Reform, 4/2016.

NY DSRIP - commitment to performance monitoring

- NY used waiver funds to build a Medicaid Analytics Performance Portal (MAPP) with "the goal of building a 360-degree view of a patient that is not constrained by organizational barriers":
 - MAPP houses performance dashboards, acts as a data warehouse, and serves as an electronic care planning tool for the health home population
- NY also committed to sharing Medicaid claims data with PPSs and requires DSRIP-eligible providers be connected to a qualified regional health information organization to promote clinical data-sharing and access to data for treatment purposes
 - Some PPSs are working with health plans to directly access plans' claims feeds, which typically have a shorter lag time than those provided by the state
- Stakeholders skeptical whether MAPP tool or information exchange through state's HIE will help them achieve DSRIP performance objectives because of issues of timing and utility:
 - Most stakeholders are taking a wait-and-see attitude, while investing significantly in their own IT and population health capabilities

Source: Commonwealth Fund, Implementing New York's DSRIP Program: Implications for Medicaid Payment and Delivery System Reform, 4/2016.

NY DSRIP performance data

In addition to providing access to MAPP and sharing Medicaid claims data, NY has provided PPSs with additional data assets for DSRIP project selection and applications, including:

DSRIP Performance Chartbooks

- Maps and charts of avoidable hospitalization and health care quality indicators
- Developed by NY Department of Health (yay public health) using Medicaid claims data
- Avoidable hospitalization chartbooks:
 - Includes 26 metrics, all of which are Prevention Quality Indicators (PQIs) and Pediatric Quality Indicators (PDIs), plus two additional indicators - Potentially Preventable ER Visits (PPVs) and Potentially Preventable Hospital Readmission (PPRs), both of which are developed using software from 3M
 - APDE routinely develops PQIs based on CHARS data (though could also be done with Medicaid claims data), PDIs follow the same principle
- Clinical metrics chartbooks:
 - Includes 16 HEDIS measures, all of which are generated using Medicaid claims data (i.e. Community Checkup)

DSRIP Dashboards

- 15 interactive dashboards developed by DOH and Salient HHS, a performance management solutions corporation
- All dashboards are based on Medicaid claims data

Source: NY Department of Health, DSRIP Performance Data, https://www.health.ny.gov/health_care/medicaid/redesign/dsrip/performance_data

Selected NY DSRIP Dashboards

Dashboard	Data required for dashboard	
Where do members go for services?	Medicaid claims data*	
Who provides services to members?	Medicaid claims data	
What type of service do members receive?	Medicaid claims data	
What type of conditions are being treated?	Medicaid claims data	
Who is providing ER, inpatient and primary care?	Medicaid claims data	
Who are the providers in my DSRIP region and county?	Medicaid claims data	
How many Medicaid members are enrolled in each county?	Medicaid claims data	
How often do people go the ER in your catchment area?	Medicaid claims data	
How have ER utilization rates changed over the past 3 years?	Medicaid claims data	
How often do people have primary care visits in your area?	Medicaid claims data	
How have Primary Care Visit rates changed over the past 3 years?	Medicaid claims data	
How often are people admitted for Inpatient Care in your area?	Medicaid claims data	
How have Inpatient Admission rates changed over the past 3 years?	Medicaid claims data	

^{*} Would require geocoding of all client residences AND location for each rendered service

NY DSRIP project performance monitoring

- DSRIP project performance is measured through four domains of metrics:
 - Domain 1: Overall project progress metrics (process measures only)
 - Domain 2: System transformation metrics
 - Domain 3: Clinical improvement metrics
 - Domain 4: Population-wide project implementation metrics
- Metrics in domains 2-4 are either process or outcome measures:
 - All metrics in domains 2-3 are pay-for-reporting in Year 1, and some transition to pay-forperformance between Years 2-5
 - All domain 4 metrics are pay-for-reporting only

Source: NY Department of Health, Centers for Medicare and Medicaid Services (CMS) Official Documents - Attachment J: Strategies and Metrics Menu, https://www.health.nv.gov/health_care/medicaid/redesign/dsrip/cms_official_docs.htm

Select system transformation metrics (domain 2)

Metric	Source	Other considerations
Potentially avoidable ER visits*†	Medicaid claims	3M grouping & risk adjustment software
Potentially avoidable readmissions*	Medicaid claims	3M grouping & risk adjustment software
PQI Suite - composite of all measures*	Medicaid claims	
PDI Suite - composite of all measures*	Medicaid claims	
% of provider reimbursement that is value-based payment	Medicaid claims?	
Assorted patient/provider experience measures (usual source of care, timeliness of care, care transition, care coordination)*†	CAHPS survey	
Assorted HEDIS measures (access/availability of care, use of services)*†	Medicaid claims	
Medicaid spending on specific services [†]	Medicaid claims	
Use of primary, preventive care and ER services among uninsured or newly insured patients*	?	

^{*} Metric transitions to a pay-for-performance metric

Source: NY Department of Health, Centers for Medicare and Medicaid Services (CMS) Official Documents - Attachment J: Strategies and Metrics Menu, https://www.health.ny.gov/health_care/medicaid/redesign/dsrip/cms official docs.htm

[†] Same or similar metric included in the 2016 WA State Common Measure Set

Select clinical improvement metrics (domain 3)

Metric	Source	Other considerations
Assorted process and outcome measures for behavioral health service utilization*†	BHO claims	
Chronic disease-related PQIs (cardiovascular disease, diabetes, asthma)*†	Medicaid claims	
Blood pressure, cholesterol, diabetes management*†	Medical record	
Health literacy items (i.e. management of chronic disease)*	CAHPS survey	
Medical assistance with smoking cessation (NCQA) *†	?	
Flu shots for adults age 50-64*†	BRFSS	
Asthma medication ratio (NCQA)*	Medicaid claims	
Medication management for people with asthma (NCQA)*†	Medicaid claims	
Low birth weight PQI*	Medicaid claims	
Prenatal care timeliness & frequency, post-partum visits*	Medical record	Could we use claims?
Well-care visits in first 15 months*	Medicaid claims	
Childhood immunization status*†	Medical record	Could we use WSIIS?

^{*} Metric transitions to a pay-for-performance metric

Source: NY Department of Health, Centers for Medicare and Medicaid Services (CMS) Official Documents - Attachment J: Strategies and Metrics Menu, https://www.health.ny.gov/health_care/medicaid/redesign/dsrip/cms official docs.htm

[†] Same or similar metric included in the 2016 WA State Common Measure Set

Select population-wide project implementation metrics (domain 4)

Metric*	Source
Premature death rate (death before ag 65)**	Death records
Age-adjusted preventable hospitalization rate per 10,000 (age 18+)**	All-payer claims
Non-elderly adults with health insurance	ACS
Adults with regular health care provider [†]	BRFSS
Adults with poor mental health for 14+ days/month	BRFSS
Adult binge drinking during past month	BRFSS
Age-adjusted suicide rate per 10,000	Death records
Adult obesity	BRFSS
Child and adolescent obesity	HYS
Cigarette smoking among adults [†]	BRFSS
Colorectal cancer screening (age 50-75)†	BRFSS
Asthma ER visit rate per 10,000	All-payer claims
Age-adjusted heart attack hospitalization rate per 10,000	CHARS
Hospitalization rates for short-term complications of diabetes	CHARS
Pre-term birth rates**	Birth records
Infants exclusively breastfed in hospital**	Birth records?
Maternal mortality rate	Death records
Children with health insurance	ACS
Adolescent pregnancy rate**	PRAMS?
Unintended pregnancy rate among live birth**†	PRAMS

^{*} These metrics are calculated for the entire population living in defined geographical areas; thus, not exclusive to Medicaid members only.

Source: NY Department of Health, Centers for Medicare and Medicaid Services (CMS) Official Documents - Attachment J: Strategies and Metrics Menu, https://www.health.ny.gov/health_care/medicaid/redesign/dsrip/cms_official_docs.htm

^{**} Also includes racial/ethnic breakdowns.

[†] Same or similar metric included in the 2016 WA State Common Measure Set

Glossary of Terms

ACEs - Adverse childhood experiences HCA - WA State Health Care Authority ACH - Accountable Community of Health HEDIS - Healthcare Effectiveness Data & Information Set ACS - American Community Survey HHSTP - King County Health & Human Services Transformation Plan AHRQ - Agency for Healthcare Research & Quality HIE - Health Information Exchange AIM - Analytics, Interoperability & Measurement HYS - Healthy Youth Survey APDE - Assessment, Policy Development & Evaluation, PHSKC MAPP - Medicaid Analytics Performance Portal MCH - Maternal & child health BHO - Behavioral Health Organization BHRD - Behavioral Health & Recovery Division, DCHS MCO - Managed care organization BRFSS - Behavioral Risk Factor Surveillance System NCQA - National Committee for Quality Assurance BSK - Best Starts for Kids PBHI - Physical/Behavioral Health Integration CAHPS - Consumer Assessment of Healthcare Providers & Systems PDI - Pediatric Quality Indicator CBO - Community-based Organization PHSKC - Public Health - Seattle & King County CDR - Clinical Data Repository, Link4Health PICH - Partnerships to Improve Community Health CHNA - Community Health Needs Assessment PMW - Performance Measurement Work Group, King County ACH COO - Communities of Opportunity PPR - Potentially Preventable Readmission COPD - Chronic obstructive pulmonary disease PPS - Performing Provider System CPPW - Communities Putting Prevention to Work PPV - Potentially Preventable ER Visit CTG - Community Transformation Grant PQI - Prevention Quality Indicator DCHS - King County Department of Community and Human Services PRAMS - Pregnancy Risk Assessment Monitoring System DOH - New York State Department of Health SDOH - Social determinants of health DSRIP - Delivery System Reform Incentive Payments VBP - Value-based payments

EHR - Electronic health record

ER - Emergency room